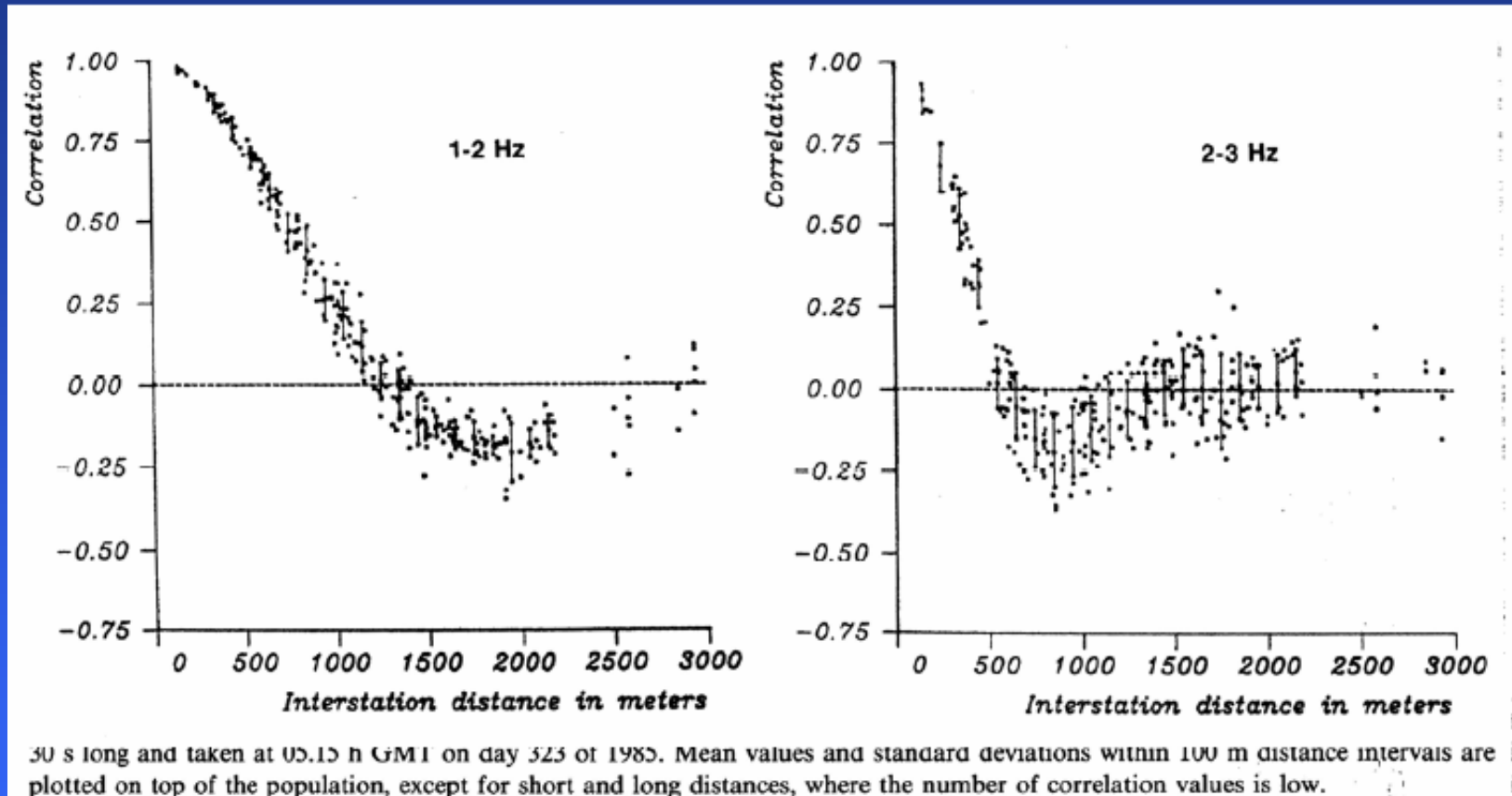


Using Ambient Vibration Array Techniques for Site Characterisation

Modified Autocorrelation method (MSPAC)

NORES noise correlation analysis \Rightarrow coherence lengths



Mykkeltveit, S., K. Åstebøl, D.J. Dornboos & E.S. Husebye (1983):
 Seismic array configuration optimization. Bull. Seism. Soc. Am., 73: 173-186.

SPAC (Aki, 1957)

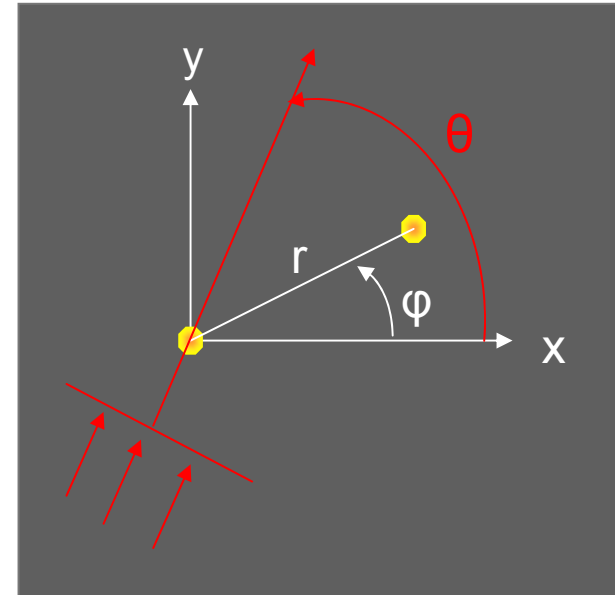
Hypothesis: Stochastic noise wavefield stationary in both time and space

Spatial correlation function

$$\phi(\mathbf{r}, \varphi) = \frac{1}{T} \int_0^T \mathbf{u}(\mathbf{x}, \mathbf{y}, t) \mathbf{u}^*(\mathbf{x} + \mathbf{r} \cos \varphi, \mathbf{y} + \mathbf{r} \sin \varphi, t) dt$$

Using relation between spectrum in time and spectrum in frequency, for one source with propagation azimuth θ

$$\phi(r, \varphi) = \frac{1}{\pi} \int_0^\infty \underset{\substack{\uparrow \\ \text{cross-spectrum}}}{\Phi(\omega)} \cos \left[\frac{\omega r}{c(\omega)} \cos(\theta - \varphi) \right] d\omega$$



Narrow band filtering around ω_0

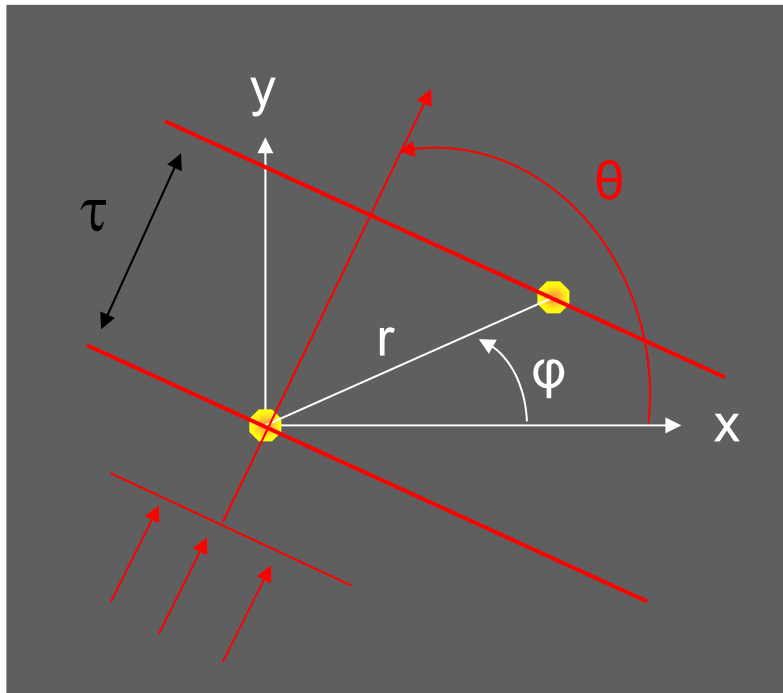
$$\Phi(\omega) = \Phi(\omega_0) \delta(\omega - \omega_0)$$

$$\phi(r, \varphi, \omega_0) = \frac{1}{\pi} \Phi(\omega_0) \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

Correlation coefficient

$$\rho(r, \varphi, \omega_0) = \frac{\phi(r, \varphi, \omega_0)}{\phi(0, \varphi, \omega_0)} = \cos \left[\frac{\omega_0 \mathbf{r}}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

Correlation coefficient



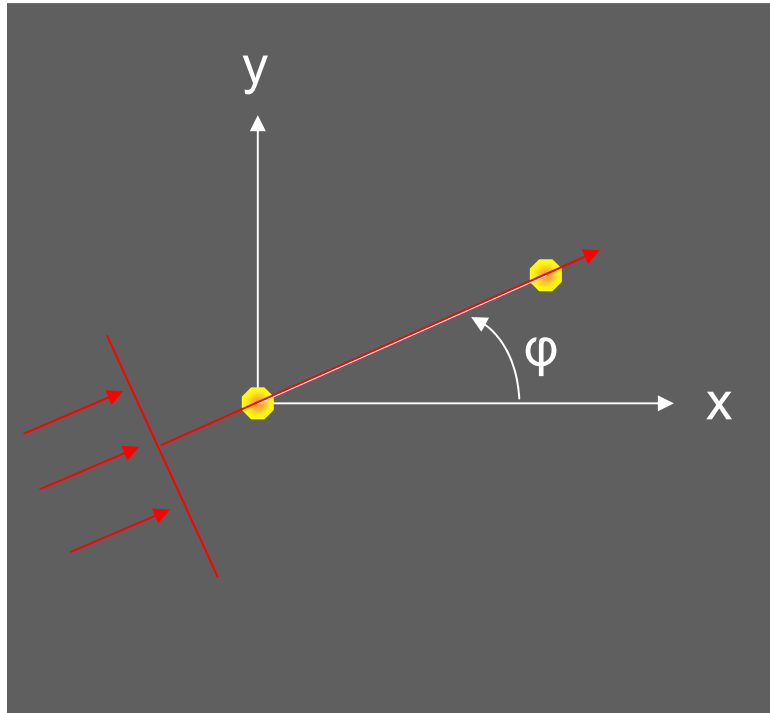
$$\rho(\mathbf{r}, \varphi, \omega_0) = \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

$$\tau = \frac{\mathbf{r}}{c(\omega_0)} \cos(\theta - \varphi)$$

Time delay

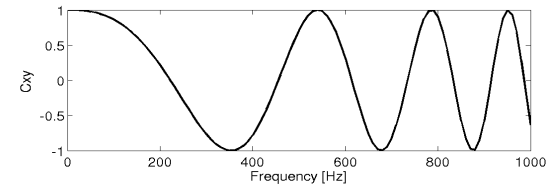
$$\rho(\mathbf{r}, \varphi, \omega_0) = \cos[\omega_0 \tau]$$

Correlation coefficient



$$\theta - \varphi = 0$$

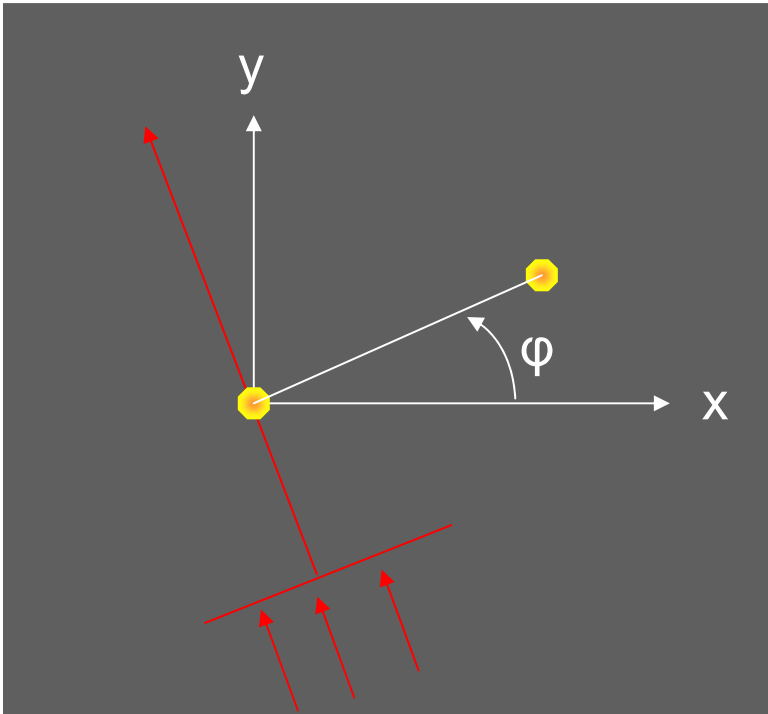
$c(\omega)$



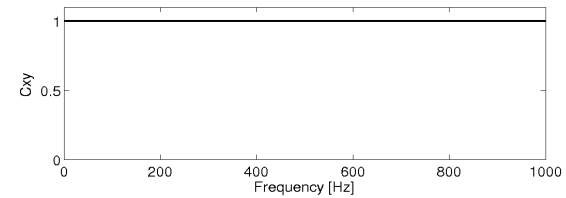
$$\phi(r, \varphi, \omega_0) = \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

Correlation coefficient

$c(\omega)$

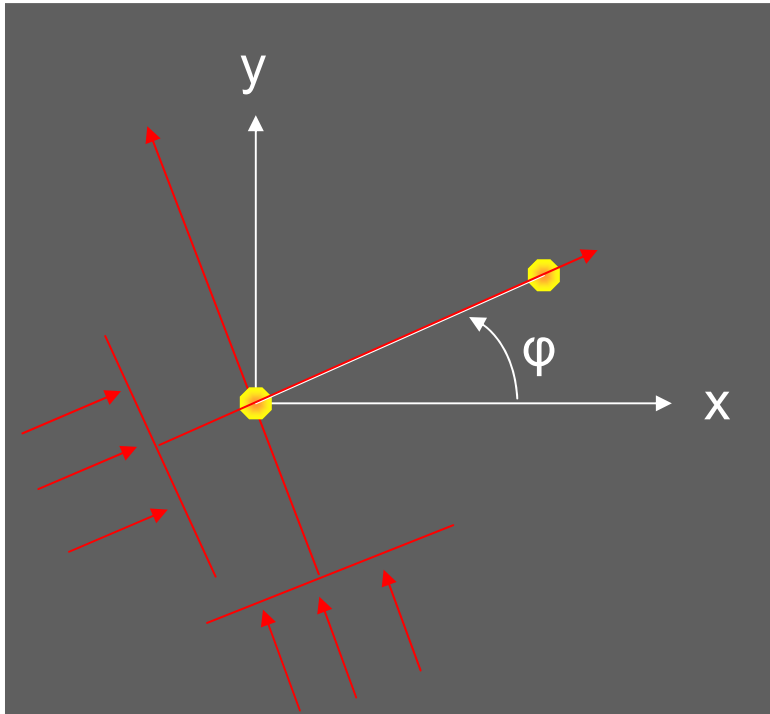


$$\theta - \varphi = \pi/2$$



$$\phi(r, \varphi, \omega_0) = \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

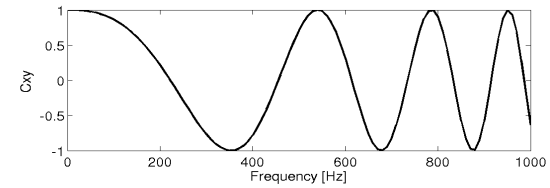
Correlation coefficient



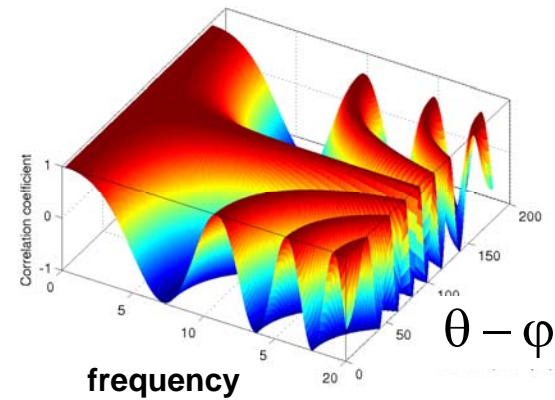
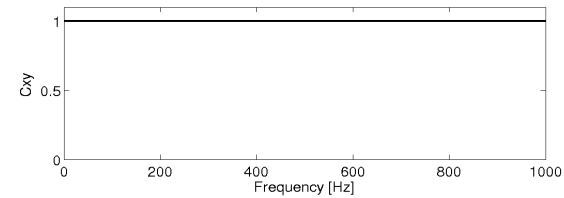
$$\phi(r, \varphi, \omega_0) = \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

$$\theta - \varphi = 0$$

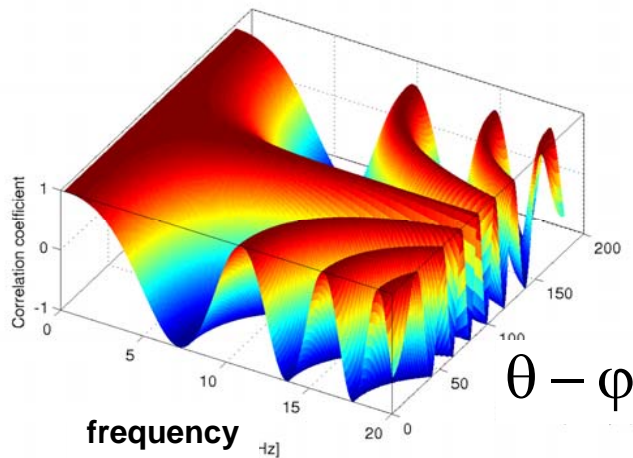
$$c(\omega)$$



$$\theta - \varphi = \pi/2$$



Correlation coefficient

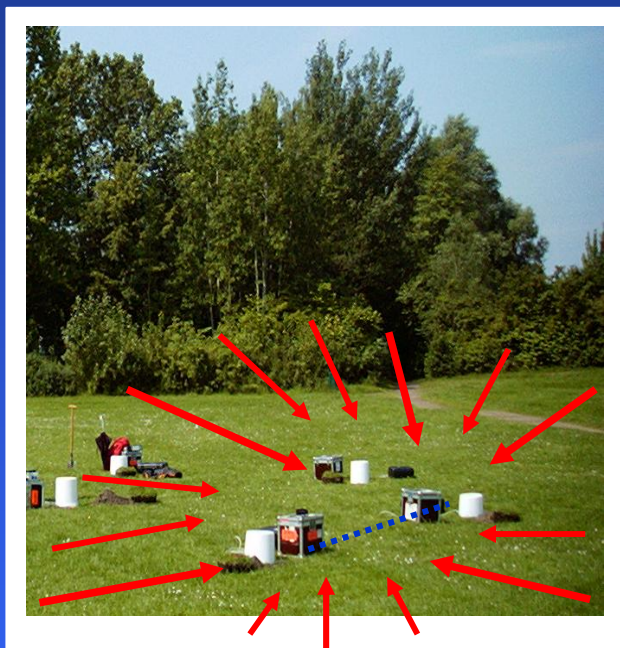


$$\phi(r, \varphi, \omega_0) = \cos \left[\frac{\omega_0 r}{c(\omega_0)} \cos(\theta - \varphi) \right]$$

For a single plane wave propagating with back-azimuth θ (except $\theta - \varphi = \pi/2$) and two sensors, $c(\omega_0)$ can be estimated

For ambient noise however, multiple sources (several θ)

Average spatial autocorrelation coefficient



For a large number of azimuthally distributed sources

Azimuthal averaging of spatial autocorrelation coefficients

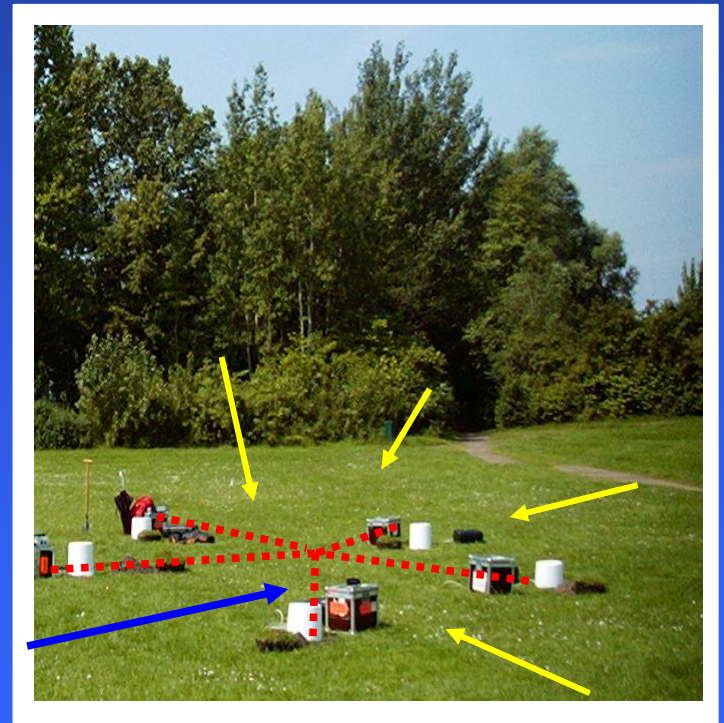
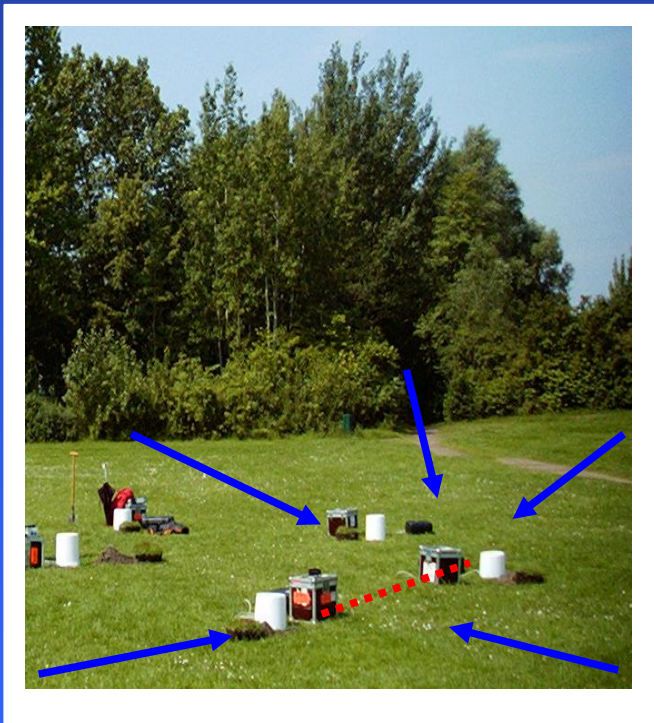
$$\bar{\rho}(\mathbf{r}, \omega_0) = \frac{1}{\pi} \int_0^{\pi} \rho(\mathbf{r}, \varphi, \omega_0) \mathbf{d}(\theta - \varphi)$$

$$\bar{\rho}(r, \omega_0) = J_0\left(\frac{\omega_0 r}{c(\omega_0)}\right)$$

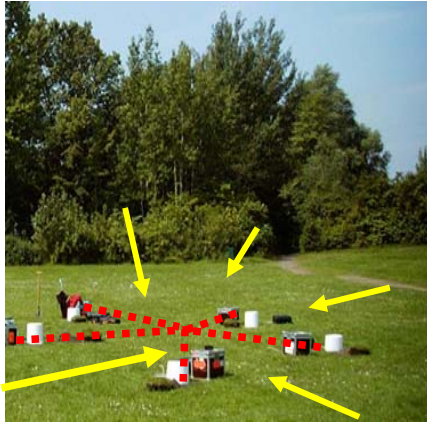
$$J_0(x) = 1/\pi \int_0^{\pi} \cos(x \cos(\varphi)) d\varphi$$

Average spatial autocorrelation coefficient (N-sensors case)

In ambient noise, number and spatial distribution of sources are unknowns ...



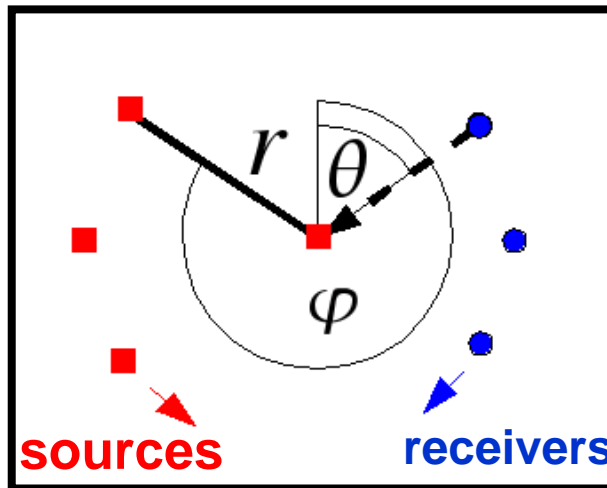
Average spatial autocorrelation coefficient (N-sensors, circular arrays)



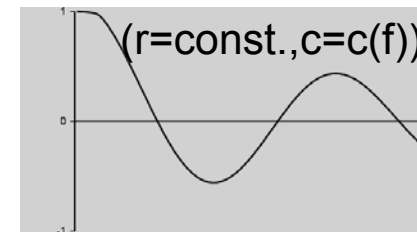
Azimuthal averaging of spatial autocorrelation coefficients

$$\bar{\rho}(\mathbf{r}, \omega_0) = \frac{1}{\pi} \int_0^{\pi} \rho(\mathbf{r}, \varphi, \omega_0) \mathbf{d}(\theta - \varphi)$$

$$\bar{\rho}(r, \omega_0) = J_0\left(\frac{\omega_0 r}{c(\omega_0)}\right)$$



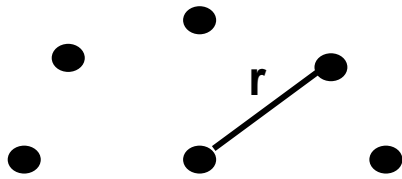
Autocorrelation coefficient



Frequency

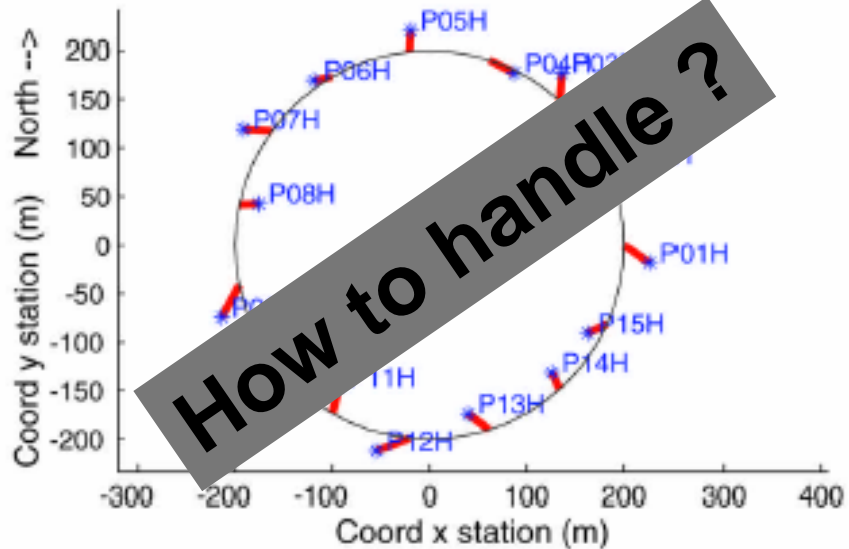
MSPAC (Bettig et al., 2001): extension to arbitrary array configurations

SPAC (Aki, 1957)



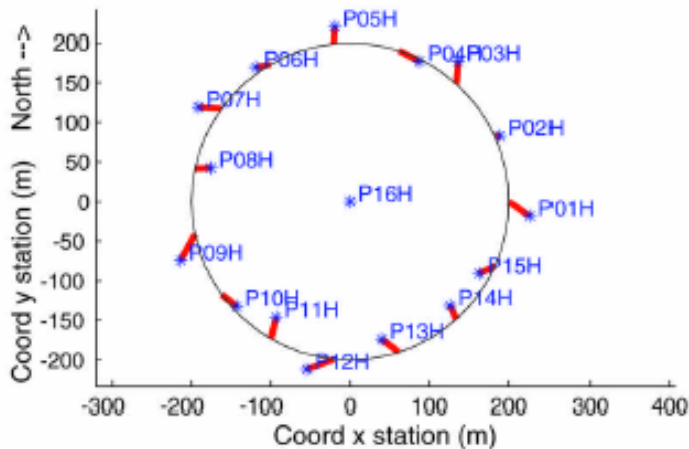
$$\bar{\rho}(r, \omega_0) = J_0\left(\frac{\omega_0 r}{c(\omega_0)}\right)$$

An example of irregular imperfect array



MSPAC (Bettig et al., 2001): extension to arbitrary array configurations

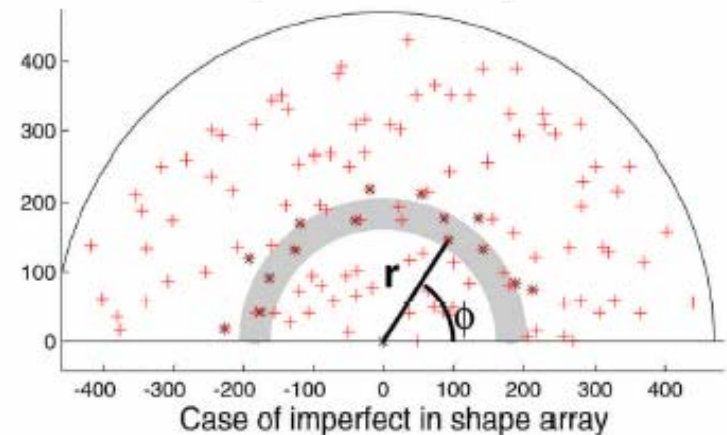
An example of irregular imperfect array



co-arrays



Spacing-Azimuthal (r, ϕ) distribution

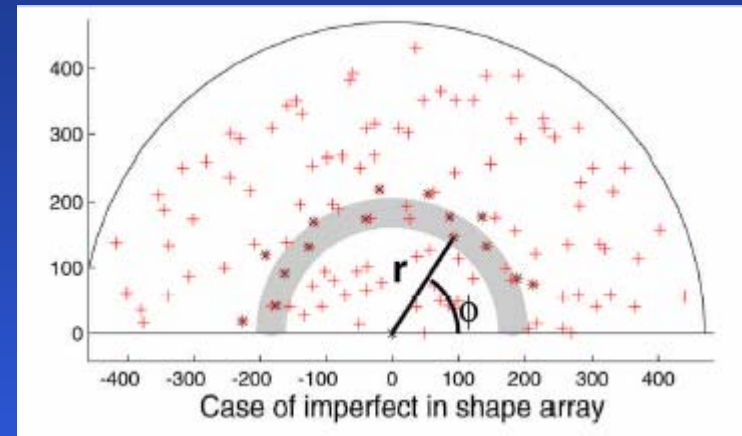
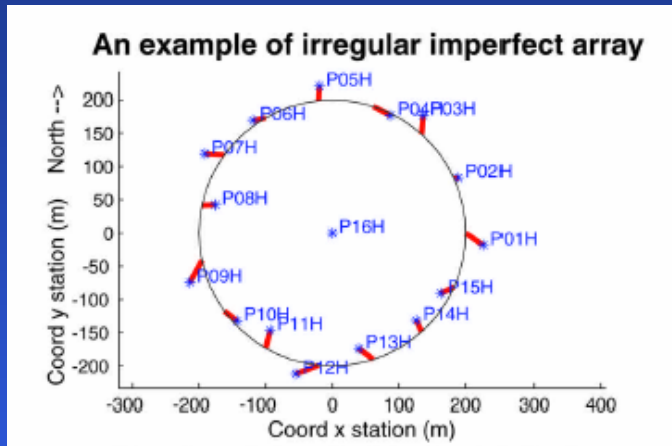


Computation of azimuthal and **radial** averaged spatial autocorrelation coefficients

$$\overline{p}_{z,r_1,r_2}(\omega_0) = \frac{2}{r_2^2 - r_1^2} \cdot \frac{c_R(\omega_0)}{\omega_0} \cdot \left[r \cdot J_1 \left(\frac{\omega_0 \cdot r}{c_R(\omega_0)} \right) \right]_{r_1}^{r_2}$$

With r_1 and r_2 being the inner and outer radius of the ring, respectively

MSPAC vs. SPAC: advantages and drawbacks



Advantages

- in urban areas: easier arrays realisation
- analysis of data sets suitable/optimized for FK
- broader frequency band than SPAC (only 1 ring)

Disavantages

- less « precise » estimation than SPAC

Estimation of phase velocity

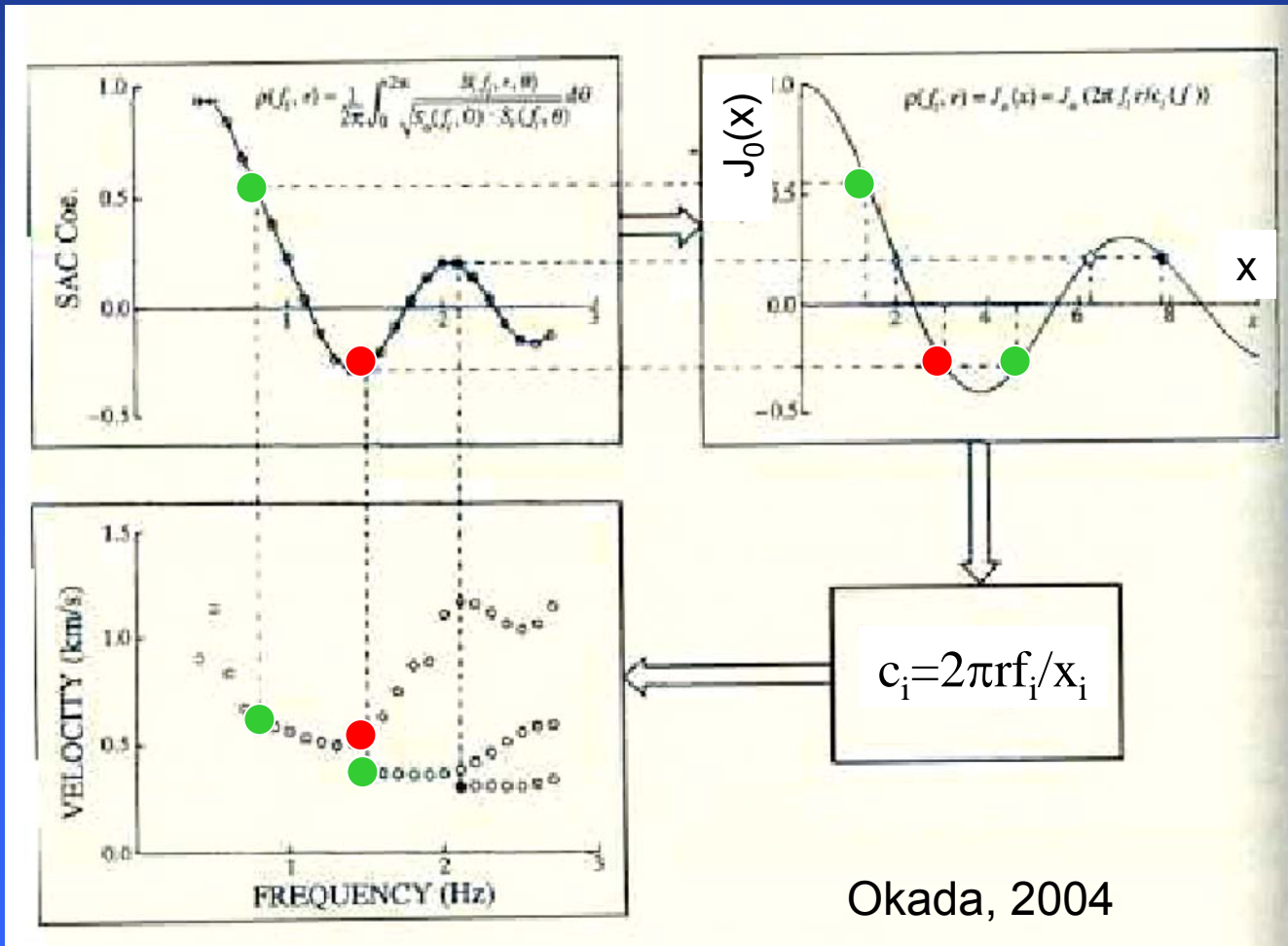
$$\bar{\rho}(r, \omega) = J_0\left(\frac{\omega r}{c(\omega)}\right)$$

↑
measure

↑
target

Correlation coefficient

Phase velocity



Bessel function

Okada, 2004

Estimation of phase velocity

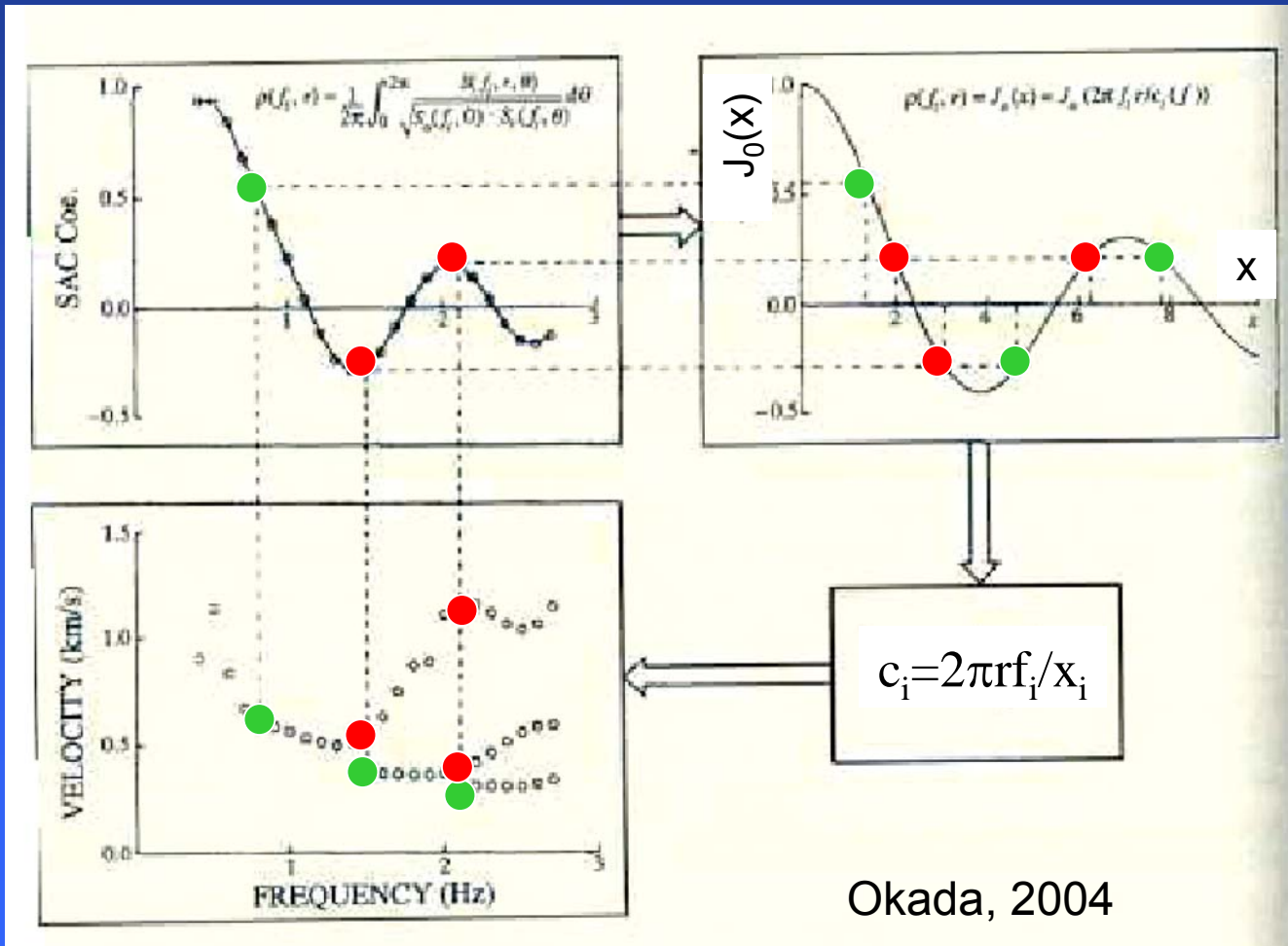
$$\bar{\rho}(r, \omega) = J_0\left(\frac{\omega r}{c(\omega)}\right)$$

↑
measure

↑
target

Correlation coefficient

Phase velocity

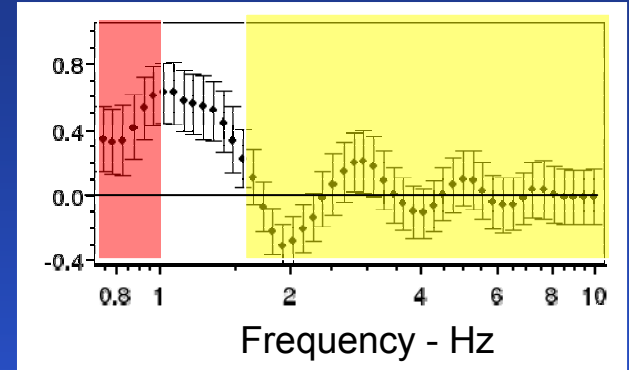
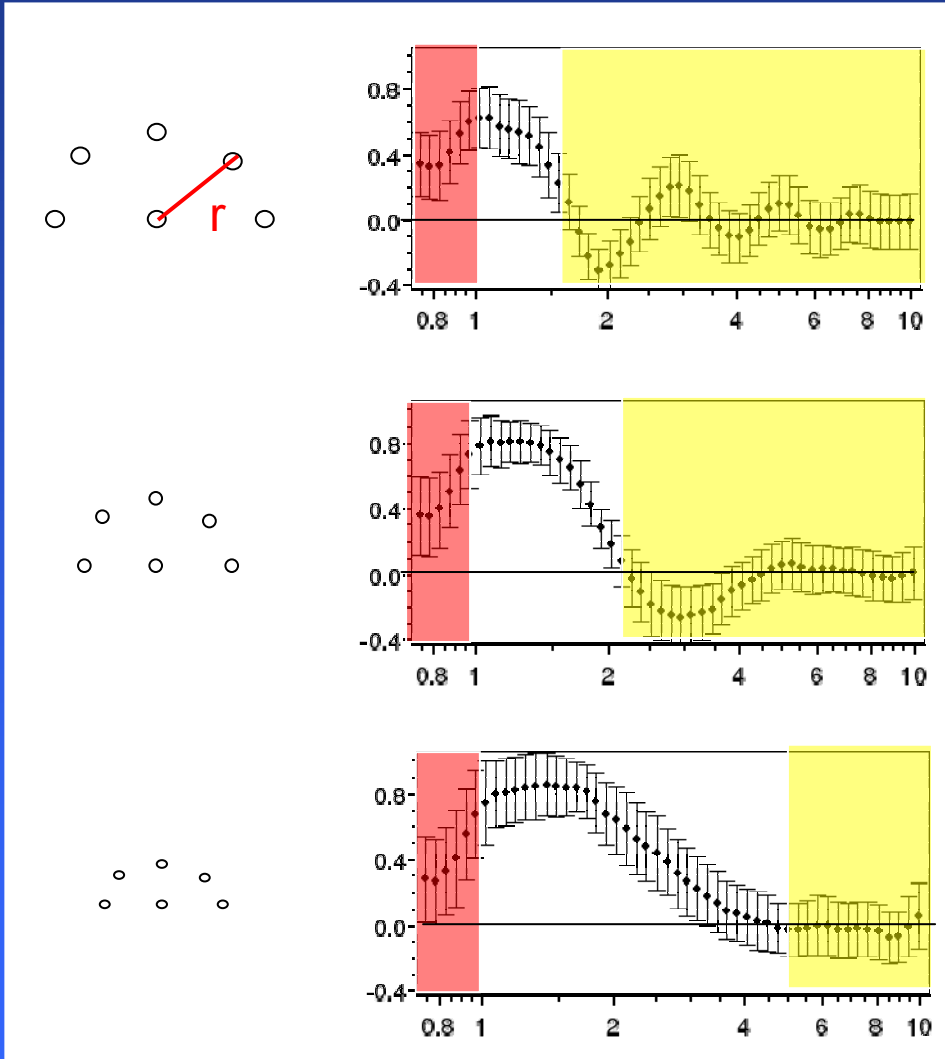




Bessel function

Building a broad-band dispersion curve from SPAC:

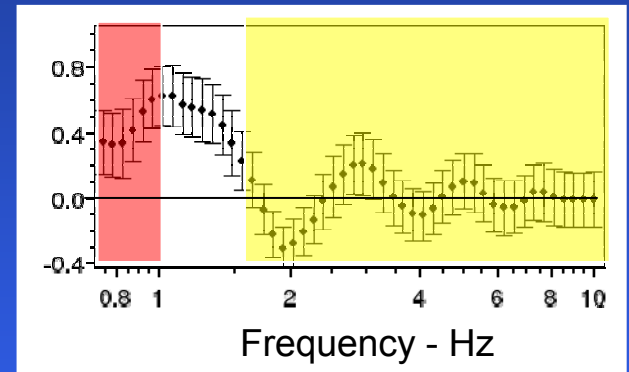
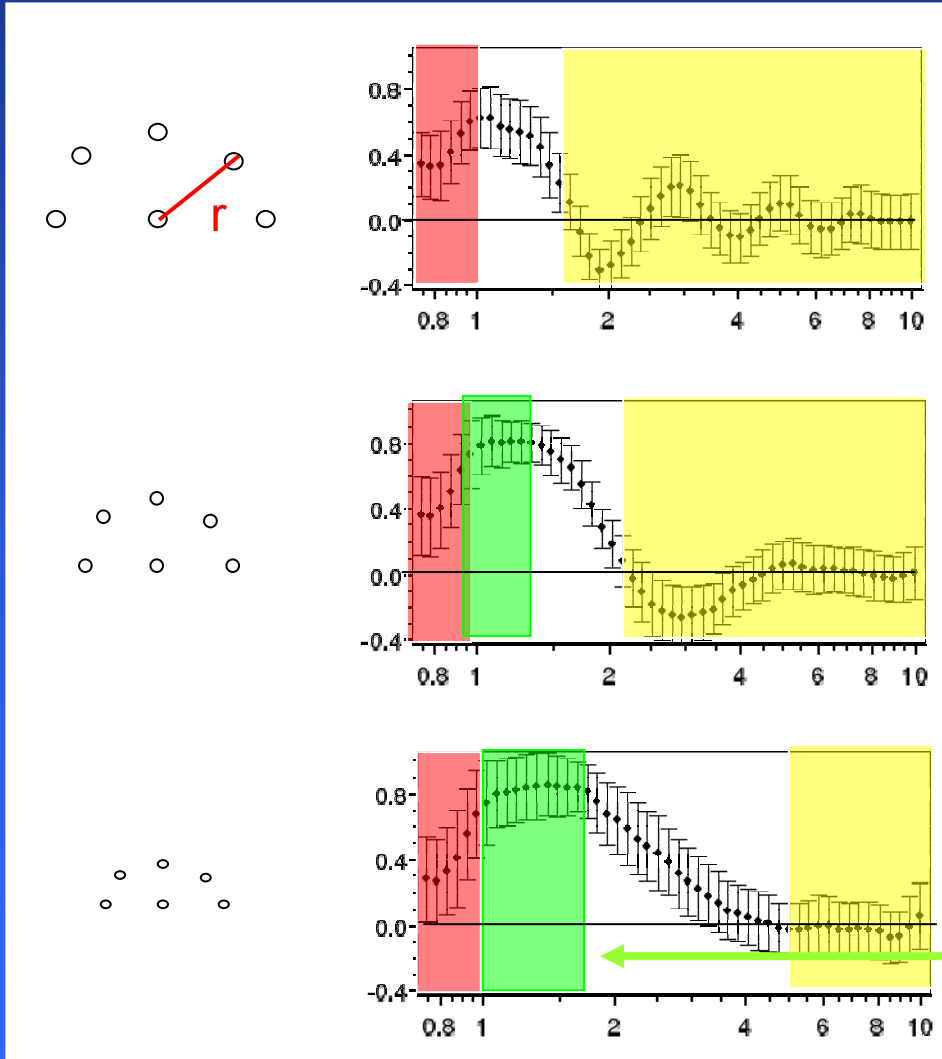
Resolution and non-uniqueness issues

Spatial autocorrelation coefficient for different radius : resolution and non-uniqueness



 ← lack of energy
  Non-uniqueness

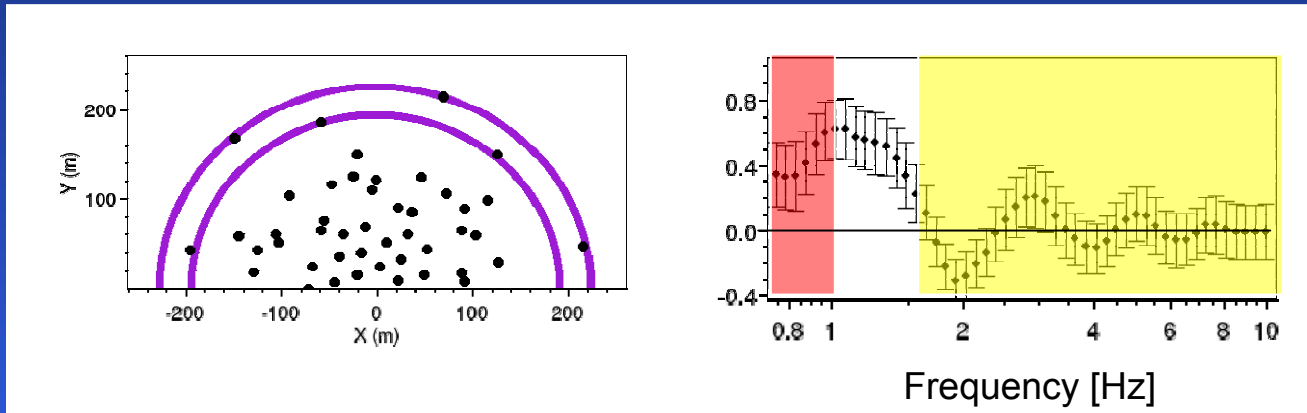
Spatial autocorrelation coefficient for different radius : resolution and non-uniqueness



← Non-uniqueness →
 ← lack of energy →

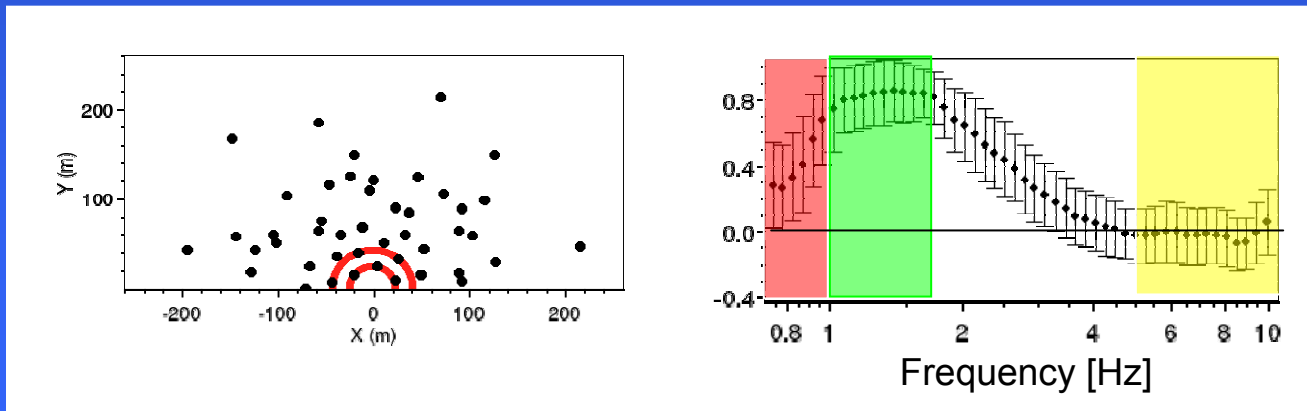
← Lack of resolution →

Array geometry: resolution and non-uniqueness



Largest ring controls the resolution

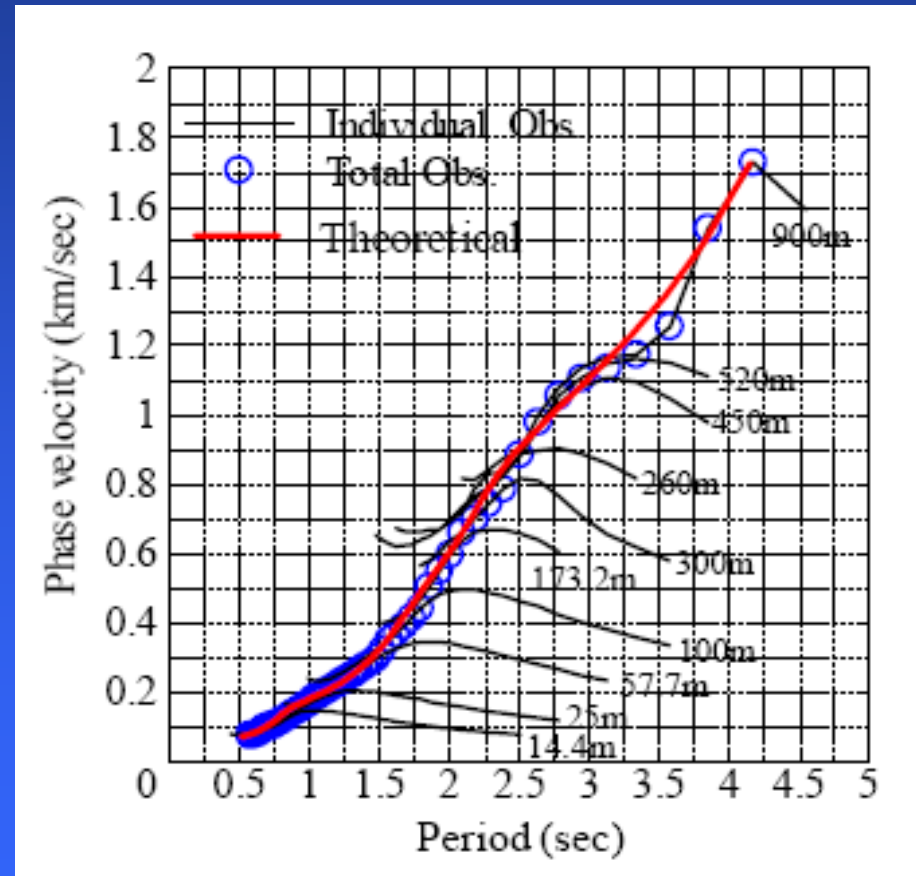
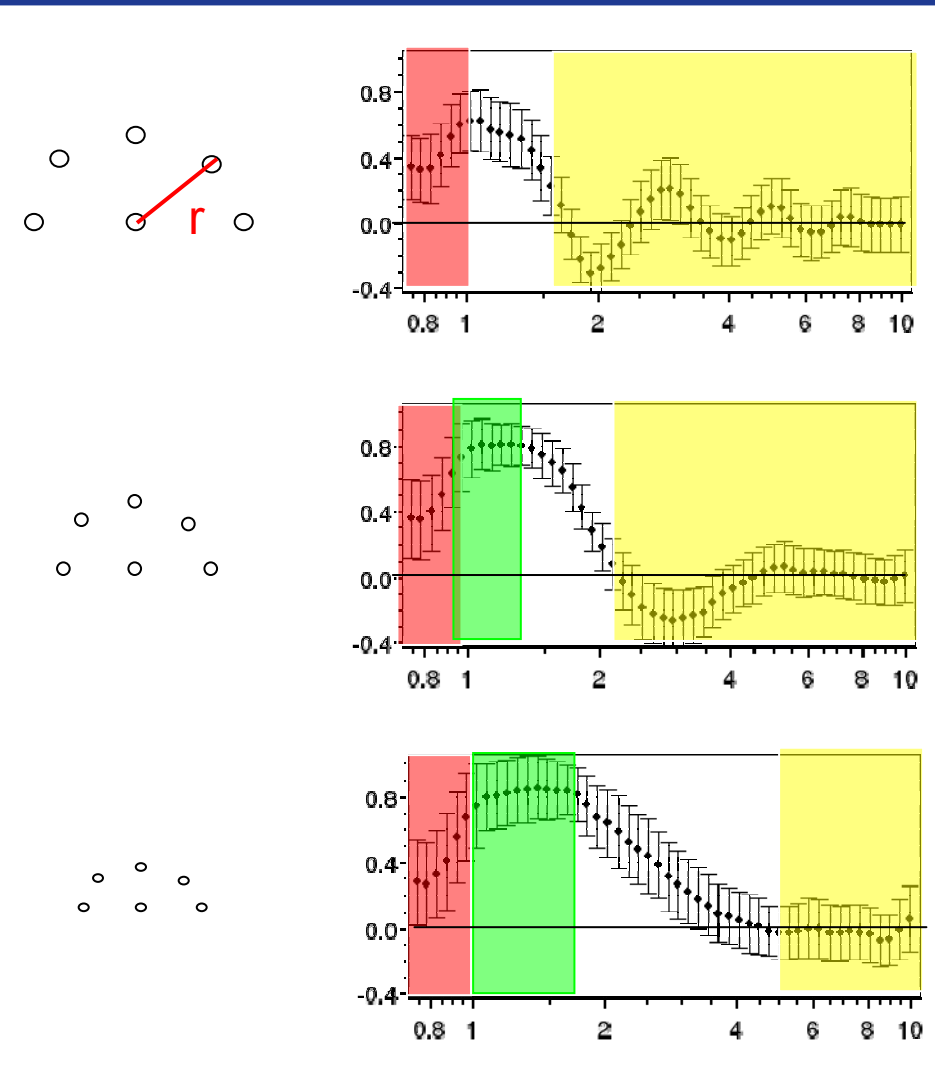
↔ width of the f-k transfer function lobe



Smallest ring controls the non-uniqueness limit

↔ location of f-k side lobes

Spatial autocorrelation coefficient for different radius : building a dispersion curve



Tsuno and Kudo, 2004

Trade-off between array aperture and smallest distance

- small distances/rings -> less non-uniqueness (first minimum at higher frequencies)
- large aperture/rings -> better resolution (plateau of Bessel functions)

Trade-off between thin rings and good station pair distribution

- Determination of inner and outer radius results from a compromise between the number of station pairs per ring (azimuthal resolution) and the ratio between ring thickness and ring radius

Finally, what are the main differences between FK and SPAC approaches ?

FK

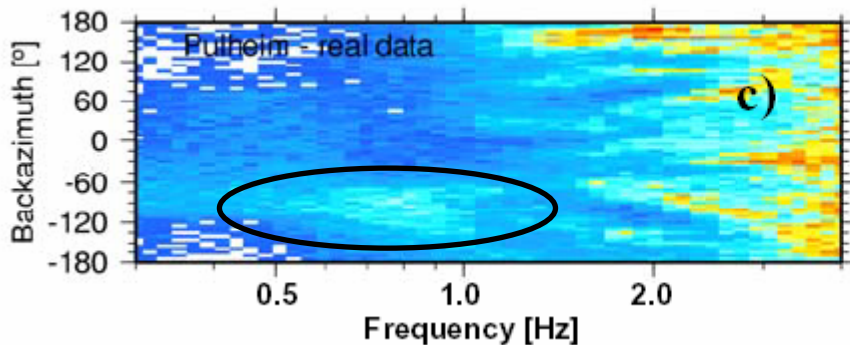
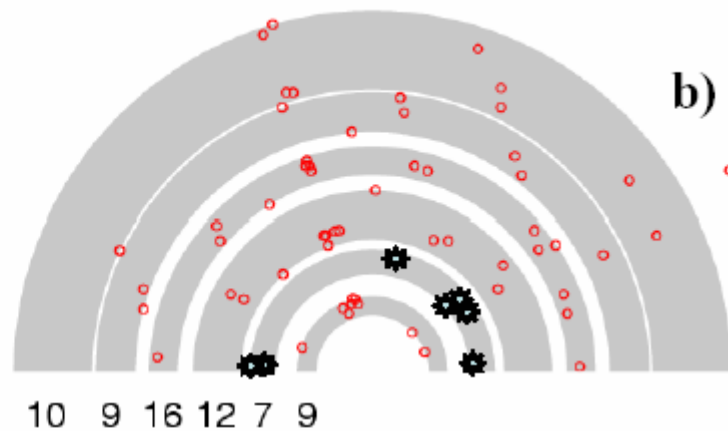
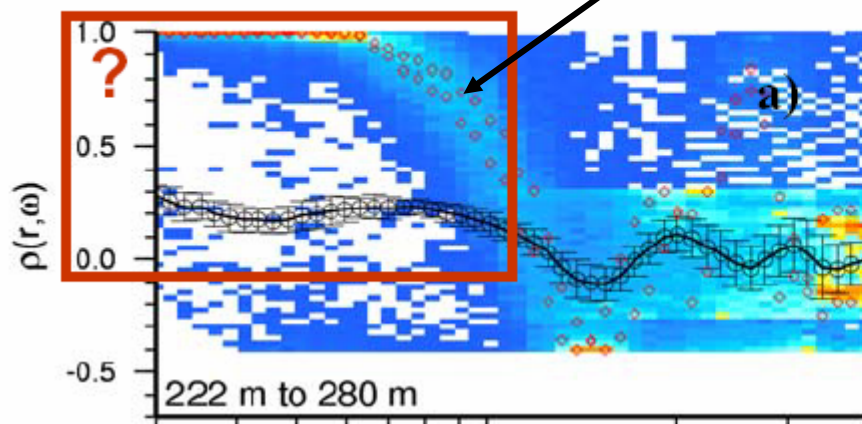
- plane wave propagation
- one single source (or few uncorrelated sources)
- direct measure of phase delay

SPAC

- plane wave propagation
- large number of uncorrelated sources spatially and temporally randomly distributed
- measure of spatial (de-)coherency of waveforms

Effects of preferential sources direction on the average spatial autocorrelation coefficient

FK analysis



Ohrnberger (2004)

How to compute the autocorrelation coefficient?

In the time domain (\Leftrightarrow sesarray)

- Compute the Fourier transform of signals
- Narrow band-pass filter around ω_0
- Inverse Fourier transform and computation of correlation
- Azimuthal averaging of the correlation coefficient

In the frequency domain

- Compute the cross-spectra normalized by the autospectra
- Azimuthal averaging on the real part of the cross-spectra

SPAC implementation

